# Please note the following points.

- \* Please fill in the information as of the date of the employment in KU.
- \* If you found an error after filling in, please make a new one, or correct it with crossing out and put a stamp on the corrected part to identify the corrector.
- \* Please make sure that there are no omissions before submitting.
- \* Please be sure to submit two pages of the declaration letter (double-sided printing if it is possible).

#### **Declaration Letter**

Regarding the applicability of the Specific Categories for compliance with Article 25 (1) and (2) of the Foreign Exchange and Foreign Trade Act

To Kumamoto University		_		Please fill out the star	
	Date of employment (M/D/Y):				
	Address:				
	Signature :		Plea	se sign and print	
	Printed Name:			name clearly.	
	Affiliation in KU:		) = ==		

I understand when Kumamoto University (hereafter referred to as KU) transfers technology to a resident who falls under the clauses 1(3) #① or ② of the "Notification for Transactions or Acts of Transferring Technology Requiring Permission pursuant to Article 25 (1) of the Foreign Exchange and Foreign Trade Act and Article 17 (2) of the Foreign Exchange Order" (Document No. 492 of the Trade Bureau published on December 21, 1992; hereinafter referred to as the "Notification for Technology Transfer"), KU is likely to be required to obtain a license from the Minister of Economy, Trade, and Industry pursuant to Article 25 (1) and (2) of the Foreign Exchange and Foreign Trade Act, and, for the sake of compliance by KU with the clauses 1(3)

Exchange and Foreign Trade Act, and, for the sake of compliance by KU with the clauses 1(3)

#① or ② of the Notification for Technology Transfer, I hereby declare Please be sure to check one of the four check-boxes.

DO NOT fall under any of the categories below and no declaration is required.

fall under the category ① below.

fall under the category ② below.

fall under the categories ① and ② below.

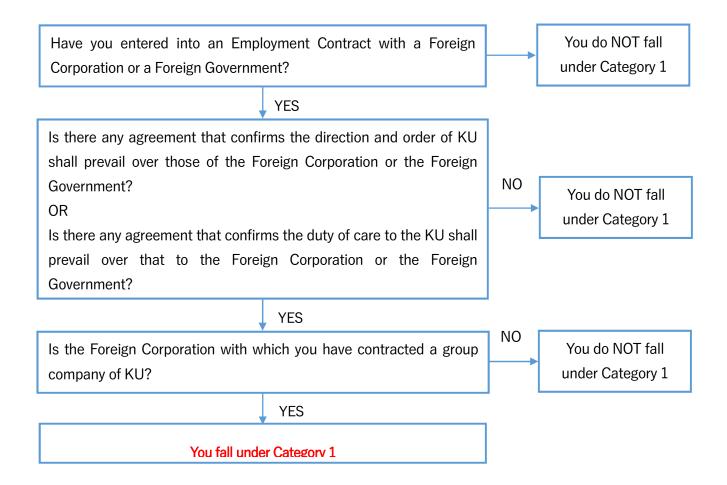
Please refer to the chart in next page for the judgement regarding the category ①.

If there are any changes to my confirmation, I should notify the Risk Management Department of the  $\cdots$ 

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This Declaration Letter (English version) is translation of the Declaration Letter in Japanese. The Japanese text is the original and the English text is for reference purposes. If there is any conflict or inconsistency between these two texts, the Japanese text shall prevail.

## Chart for the judgment regarding the category ①



# [ Submit your letter ]

Please print out the following pages, fill in the required information, and submit the PDF data by e-mail to RMD.

Risk Management Division

Mail: kido-rmd@jimu.kumamoto-u.ac.jp

### **Declaration Letter**

Regarding the applicability of the Specific Categories for compliance with Article 25 (1) and (2) of the Foreign Exchange and Foreign Trade Act

To Kumamoto University				
	Date of employment (M/D/Y):			
	Address:			
	Signature :			
	Printed Name:			
	Affiliation in KU:			
a resident who falls under the classical Acts of Transferring Technology R Exchange and Foreign Trade Act at No. 492 of the Trade Bureau publication for Technology Transfer Minister of Economy, Trade, and Exchange and Foreign Trade Act,	iversity (hereafter referred to as KU) transfers technology to auses 1(3) サ① or ② of the "Notification for Transactions or equiring Permission pursuant to Article 25 (1) of the Foreign and Article 17 (2) of the Foreign Exchange Order" (Document lished on December 21, 1992; hereinafter referred to as the fer"), KU is likely to be required to obtain a license from the Industry pursuant to Article 25 (1) and (2) of the Foreign and, for the sake of compliance by KU with the clauses 1(3) echnology Transfer, I hereby declare that I:			
•	ategories below and no declaration is required.			
☐ fall under the category ① below				
☐ fall under the category ② belo				
☐ fall under the categories ① and ② below.				

If there are any changes to my confirmation, I should notify the Risk Management Department of the Kumamoto Innovative Development Organization under Article 18 of the Security Export Control Regulation of the KU. The same shall apply in the case that I come under the command and control of the KU again (employment, etc.) after my employment contract is terminated.

Continued on next page

Attention: In cases where the declaration will change, please be sure to contact to the KU Risk Management Division (Mail: kido-rmd@jimu.kumamoto-u.ac.jp, TEL:096-342-3143)

①A Person who has entered into an employment contract, a delegation contract, a service contract, or any other contract with a corporation or any other organization established under the foreign laws and regulations (hereinafter referred to as a "Foreign Corporation"), or a foreign government, a foreign governmental agency, a foreign local government, a foreign central bank, a foreign political party or any other political organization (hereinafter referred to as a "Foreign Government") and is subject to the direction and order of the Foreign Corporation or the Foreign Government, or owes the duty of care of a good manager to the Foreign Corporation or the Foreign Government, according to the contract, except for either of the following cases.

(a) In the case where the Person has entered into an employment contract, a delegation contract, a service contract, or any other contract with KU and is subject to the direction and order of the KU or owes the duty of care of a good manager to the KU, according to the contract, the KU or the Person has agreed with the Foreign Corporation or the Foreign Government that the direction and order of the Japanese corporation or the duty of care of a good manager to the KU shall prevail over the direction and order of the Foreign Corporation or the Foreign Government, or the duty of care of a good manager to the Foreign Corporation or the Foreign Government.

(b) In the case where the Person has entered into an employment contract, a delegation contract, a service contract, or any other contract with a KU and is subject to the direction and order of the KU or owes the duty of care of a good manager to the KU, according to the contract, the Person has entered into an employment contract, a delegation contract, a service contract or any other contract with a Group Foreign Corporation (a Foreign Corporation that directly or indirectly holds 50% or more of the voting rights of the KU or a Foreign Corporation of which 50% or more of the voting rights are held by the KU. The same shall apply hereinafter.) and is subject to the direction and order of the Group Foreign Corporation, according to the contract.

②A Person who earns or agrees to earn a large amount of money or other significant profit (money or other profit that accounts for 25% or more of the Person's annual income when converted into money) from a Foreign Government.